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HOUSE FILE 369
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                                       AN ACT
   4 RELATING TO ELEVATOR CONVEYANCE SAFETY STANDARDS ENFORCED BY
         THE DIVISION OF LABOR SERVICES OF THE DEPARTMENT OF
   6
         WORKFORCE DEVELOPMENT.
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   8 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:
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1 10
         Section 1. Section 88.19, Code 2007, is amended to read as
  11 follows:
         88.19
                 ANNUAL REPORT.
1 13
         Within one hundred twenty days following the convening of
1 14 each session of each general assembly, the commissioner shall 1 15 prepare and submit to the governor for transmittal to the
1 16 general assembly a report upon the subject matter of this
1 17 chapter, the progress toward achievement of the purpose of
1 18 this chapter, the needs and requirements in the field of
1 19 occupational safety and health, and any other relevant
1 20 information. Such reports may include information regarding
1 21 occupational safety and health standards, and criteria for
  22 such standards, developed during the preceding year;
  23 evaluation of standards and criteria previously developed
1 24 under this chapter, defining areas of emphasis for new
  25 criteria and standards; and evaluation of the degree of
1
  26 observance of applicable occupational safety and health
1 27 standards, and a summary of inspection and enforcement
  28 activity undertaken, including remedial actions taken under 29 chapter 89A; analysis and evaluation of research activities
1 30 for which results have been obtained under governmental and
1 31 nongovernmental sponsorship; an analysis of major occupational
  32 diseases; evaluation of available control and measurement
33 technology for hazards for which standards or criteria have
  34 been developed during the preceding year; description of
  35 co-operative efforts undertaken between government agencies 1 and other interested parties in the implementation of this
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   2 chapter during the preceding year; a progress report on the
   3 development of an adequate supply of trained personnel in the
   4 field of occupational safety and health, including estimates
   5 of future needs and the efforts being made by government and
   6 others to meet those needs; listing of all toxic substances in
   7 industrial usage for which labeling requirements, criteria, or
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   8 standards have not yet been established; and such
   9 recommendations for additional legislation as are deemed
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  10 necessary to protect the safety and health of the worker and
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  11 improve the administration of this chapter.
2 12
         Sec. 2. Section 89A.1, Code 2007, is amended to read as
2 13 follows:
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         89A.1
                 DEFINITIONS.
2 15
         As used in this chapter, except as otherwise expressly
2 16 provided:
2 17
         1. "Alteration" means any change made to an existing
2 18 facility conveyance, other than the repair or replacement of 2 19 damaged, worn, or broken parts necessary for normal
2 20 maintenance.
  21
         2. "Commissioner" means the labor commissioner, appointed
2 22 pursuant to section 91.2, or the labor commissioner's
2 23 designee.
  24 3. "Conveyance" means an elevator, dumbwaiter, escalator, 25 moving walk, lift, or inclined or vertical wheelchair lift
2 24
  26 subject to regulation under this chapter, and includes
  27 hoistways, rails, guides, and all other related mechanical and 28 electrical equipment.
         4. "Division" means the division of labor services of the
2 30 department of workforce development created under section
  31 84A.1.
              5. "Dormant facility" conveyance" means a facility
  33 conveyance whose power feed lines have been disconnected from
  34 the mainline disconnect switch and is one of the following:
35 a. An electric elevator, material lift, or dumbwaiter
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   1 whose suspension ropes have been removed, whose car and
   2 counterweight rest at the bottom of the hoistway, and whose
   3 hoistway doors have been permanently barricaded or sealed in
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4 the closed position on the hoistway side.

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b. A hydraulic elevator, material lift, or dumbwaiter 6 whose car rests at the bottom of the hoistway, whose pressure 7 piping has been disassembled and a section removed from the 8 premises; whose hoistway doors have been permanently 9 barricaded or sealed in the closed position on the hoistway 3 10 side; and, if provided, whose suspension ropes have been 3 11 removed and the counterweights landed at the bottom of the 3 12 hoistway.

- c. An escalator or moving walk whose entrances have been 3 14 permanently barricaded.
- 3 15 d. A rack and pinion or screw column facility elevator, 3 16 whose motor has been removed, platform lowered to the bottom, 3 17 and entrances barricaded. 3 18
- 5. <u>6.</u> "Dumbwaiter" means a hoisting and lowering 3 19 mechanism equipped with a car which moves in guides in a 3 20 substantially vertical direction, when the floor area does not 21 exceed nine square feet, the total compartment height does not 3 22 exceed four feet, the capacity does not exceed five hundred 3 23 pounds, and which is used exclusively for carrying materials.
- 7. "Elevator" means a hoisting and lowering mechanism 6 . 3 25 equipped with a car or platform which moves in guides in a 3 26 substantially vertical direction, and which serves two or more 3 27 floors of a building or structure. The term elevator
 3 28 "Elevator" does not include a dumbwaiter, endless belt,
 3 29 conveyor, chain or bucket hoist, construction hoist, or other 30 device used for the primary purpose of elevating or lowering 31 building or other materials and not used as a means of 3 32 conveyance for individuals, nor shall it and does not include 3 33 tiering, piling, feeding, or other machines or devices giving 34 service within only one story.
 - 7. 8. "Escalator" means a power=driven, inclined, continuous stairway used for raising or lowering passengers.
 - 8. "Facility" means an elevator, dumbwaiter, escalator, 3 moving walk, lift, or inclined or vertical wheelchair lift 4 subject to regulation under this chapter, and includes 5 hoistways, rails, guides, and all other related mechanical and 6 electrical equipment.
- 9. "Freight elevator" means an elevator used for carrying 4 8 freight and on which only the operator and persons necessary 9 for unloading and loading the freight are permitted to ride.
 10. "Inclined or vertical wheelchair lift" means a lift
- 4 10 4 11 used as part of an accessible route in or at a public building 4 12 as specified in the American society of mechanical engineers 4 13 safety codes for elevators and escalators, A17.1. 4 14
- 11. "Inspector" means an inspector employed by the 4 15 division for the purpose of administering this chapter.
- 4 16 12. "Lift" means a device consisting of a power=driven 4 17 endless belt, provided with steps or platforms and handholds 4 18 attached to it for the transportation of persons from floor to 4 19 floor.
- "Material lift elevator" means an elevator existing at 13. the location prior to January 1, 1975, which is limited in use 4 22 to the movement of materials.
- 14. "Moving walk" means a type of passenger=carrying 4 24 device on which passengers stand or walk, and in which the 4 25 passenger=carrying surface remains parallel to its direction 4 26 in motion and is uninterrupted.
 4 27 15. "New installation" means a facility conveyance the
- 4 28 construction or relocation of which is begun, or for which an 29 application for a new installation permit is filed, on or 30 after the effective date of rules relating to those permits 4 31 adopted by the commissioner under authority of this chapter. 4 32 All other installations are existing installations.
 - 33 16. "Owner" means the owner of a facility conveyance, 34 unless the facility conveyance is a new installation or is 35 undergoing major alterations, in which case the owner shall be 1 considered the person responsible for the installation or alteration of the facility conveyance until the facility
 - 3 <u>conveyance</u> has passed final inspection by the division.
 4 17. "Passenger elevator" means an elevator that is used to 5 carry persons other than the operator and person necessary for 6 loading and unloading.
 - "Safety board" means the elevator safety board created 18. in section 89A.13.
 - 19. "Special inspector" means an inspector licensed 10 commissioned by the labor commissioner, and not employed by 11 the division.
- 12 Sec. 3. Section 89A.2, Code 2007, is amended to read as 5 13 follows:
 - 89A.2 SCOPE OF CHAPTER.

The provisions of this chapter shall not apply to any 5 15 5 16 facility conveyance installed in any single private dwelling 5 17 residence, to facilities conveyances subject to regulation 18 under Iowa Administrative Code, chapter 26 of the rules of 19 division of labor services (regulation 875 IAC 26.1 and 29 contract the results of 18 under 19 division of labor services (regulation 875 IAC 26.1 and 29 contract the results of 18 under 19 division of labor services (regulation 875 IAC 26.1 and 29 contract the results of 18 under 19 division of labor services (regulation 875 IAC 26.1 and 29 division of labor services (regulation 875 IAC 26.1 and 29 division of labor services (regulation 875 IAC 26.1 and 29 division of labor services (regulation 875 IAC 26.1 and 29 division 875 IAC 26.1 and 20 division 5 20 C.F.R. 1926.552+, to lifts subject to regulation under chapter 5 21 88, to material lift elevators existing in the same location 5 22 since prior to January 1, 1975, or to facilities conveyances 5 23 over which an agency of the federal government is asserting 5 24 similar enforcement jurisdiction. Provisions of this chapter 25 supersede similar conflicting provisions contained in building 5 26 codes of this state or any subdivision thereof. 5 27

Sec. 4. Section 89A.3, subsections 1 and 2, Code 2007, are 28 amended to read as follows:

1. The safety board may adopt rules governing maintenance, 5 30 construction, alteration, and installation of facilities 31 conveyances, and the inspection and testing of new and 32 existing installations as necessary to provide for the public 5 33 safety, and to protect the public welfare.

The safety board shall adopt, amend, or repeal rules 35 pursuant to chapter 17A as it deems necessary for the administration of this chapter, which shall include, but not 2 be limited to—rules providing for:

Classifications of types of facilities conveyances. a.

- b. Maintenance, inspection, testing, and operation of the various classes of facilities conveyances.
 - c. Construction of new facilities conveyances.

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- d. Alteration of existing facilities conveyances.
- e. Minimum safety requirements for all existing facilities conveyances.

f. Control or prevention of access to facilities conveyances or dormant facilities conveyances.

- g. The reporting of accidents and injuries arising from 6 13 the use of facilities conveyances.
- h. The adoption of procedures for the issuance of 6 15 variances.
- i. The amount of fees charged and collected for inspection, permits, and licenses commissions. Fees shall be 6 18 set at an amount sufficient to cover costs as determined from 6 19 consideration of the reasonable time required to conduct an 6 20 inspection, reasonable hourly wages paid to inspectors, and 6 21 reasonable transportation and similar expenses.
- 2. The safety board shall adopt rules for facilities 6 23 conveyances according to the applicable provisions of the 24 American society of mechanical engineers safety codes for 6 25 elevators and escalators, A17.1 and A17.3, as the safety board 6 26 deems necessary. In adopting rules the safety board may adopt 27 the American society of mechanical engineers safety codes, or 6 28 any part of the codes, by reference.

The safety board may adopt rules permitting existing 30 passenger and freight elevators to be modified into material 31 lift elevators.

Sec. 5. Section 89A.5, Code 2007, is amended to read as 33 follows:

89A.5 REGISTRATION OF FACILITIES CONVEYANCES.

The owner of every existing facility conveyance, whether or 1 not dormant, shall register the facility conveyance with the 2 commissioner, giving type, contract load and speed, name of 3 manufacturer, its location and the purpose for which it is 4 used, and other information the commissioner may require. 5 Registration shall be made in a format required by the 6 division.

Section 89A.6, Code 2007, is amended to read as Sec. 6. follows:

INSPECTIONS == REPORTS == NONLIABILITY. 89A.6

All new and existing facilities conveyances, except dormant 7 11 facilities conveyances, shall be tested and inspected in 12 accordance with the following schedule:

- 1. Every new or altered facility conveyance shall be 7 14 inspected and tested before the operating permit is issued.
- 7 15 2. Every existing facility conveyance registered with the 7 16 commissioner shall be inspected within one year after the 7 17 effective date of the registration, except that the safety 7 18 board may extend by rule the time specified for making 19 inspections.
 - 3. Every facility conveyance shall be inspected not less 21 frequently than annually, except that the safety board may 22 adopt rules providing for inspections of $\frac{1}{1}$ 23 <u>conveyances</u> at intervals other than annually.
- 4. The inspections required by subsections 1 to 3 shall be 7 25 made only by inspectors or special inspectors. An inspection

7 26 by a special inspector may be accepted by the commissioner in 7 27 lieu of a required inspection by an inspector.

A report of every inspection shall be filed with the 7 28 5. 7 29 commissioner by the inspector or special inspector, in a 7 30 format required by the commissioner, after the inspection has 31 been completed and within the time provided by rule, but not 32 to exceed thirty days. The report shall include all 33 information required by the commissioner to determine whether 34 the <u>facility conveyance</u> is in compliance with applicable 35 rules. For the inspection required by subsection 1, the 1 report shall indicate whether the <u>facility</u> <u>conveyance</u> has been 2 installed in accordance with the detailed plans and 3 specifications approved by the commissioner, and meets the 4 requirements of the applicable rules. The failure of a 5 special inspector to inform the commissioner of violations 6 shall not subject the commissioner to liability for any 7 damages incurred.

6. In addition to the inspections required by subsections 9 1 to 3, the safety board may provide by rule for additional 8 10 inspections as the safety board deems necessary to enforce the 11 provisions of this chapter.

Sec. 7. Section 89A.7, Code 2007, is amended to read as 8 13 follows:

> 89A.7 ALTERATION PERMITS.

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The owner shall submit to the commissioner detailed plans, 8 16 specifications, and other information the commissioner may 8 17 require for each <u>facility</u> <u>conveyance</u> to be altered, together 8 18 with an application for an alteration permit, in a format 8 19 required by the commissioner. Repairs or replacements 8 20 necessary for normal maintenance are not alterations, and may 21 be made on existing installations with parts equivalent in 8 22 material, strength, and design to those replaced and no plans 8 23 or specifications or application need be filed for the repairs 24 or replacements. However, this section does not authorize the 8 25 use of any facility conveyance contrary to an order issued
8 26 pursuant to section 89A.10, subsections 2 and 3.
8 27 Sec. 8. Section 89A.9, Code 2007, is amended to read as

28 follows:

89A.9 OPERATING PERMITS.

Operating permits shall be issued by the commissioner to 31 the owner of every <u>facility</u> <u>conveyance</u> when the inspection 32 report indicates compliance with the applicable provisions of 33 this chapter. However, a permit shall not be issued if the 34 fees required by this chapter have not been paid. Permits 35 shall be issued within thirty days after filing of the 1 inspection report required by section 89A.6, unless the time 2 is extended for cause by the division. A facility conveyance 3 shall not be operated after the thirty days or after an 4 extension granted by the commissioner has expired, unless an 5 operating permit has been issued.

The operating permit shall indicate the type of equipment for which it is issued, and in the case of elevators shall state whether passenger or freight, and also shall state the 9 contract load and speed for each facility conveyance. 9 10 permit shall be posted conspicuously in the car of an 9 11 elevator, or on or near a dumbwaiter, escalator, moving walk, 9 12 or lift.

Sec. 9. Section 89A.10, subsections 2 and 3, Code 2007, 9 14 are amended to read as follows:

2. If the owner does not make the changes necessary for 16 compliance as required in subsection 1 within the period specified by the commissioner, the commissioner, upon notice, 9 18 may suspend or revoke the operating permit, or may refuse to 9 19 issue the operating permit for the facility conveyance. The 20 commissioner shall notify the owner of any action to suspend, 21 revoke, or refuse to issue an operating permit and the reason 22 for the action by service in the same manner as an original 23 notice or by certified mail. An owner may appeal the 24 commissioner's initial decision to the safety board. 25 decision of the safety board shall be considered final agency

26 action pursuant to chapter 17A. 27 3. If the commissioner has reason to believe that the 28 continued operation of a facility conveyance constitutes an 29 imminent danger which could reasonably be expected to 30 seriously injure or cause death to members of the public, any <u>in addition to any other remedies,</u> the commissioner 32 may apply to the district court in the county in which such 9 33 imminently dangerous condition exists for a temporary order 9 34 for the purpose of enjoining such imminently dangerous 35 facility conveyance. Upon hearing, if deemed appropriate by

1 the court, a permanent injunction may be issued to insure that

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      such imminently dangerous facility conveyance be prevented or
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      controlled. Upon the elimination or rectification of such
       imminently dangerous condition, the temporary or permanent
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       injunction shall be vacated.
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          Sec. 10. Section 89A.11, Code 2007, is amended to read as
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    7
       follows:
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          89A.11
                  NONCONFORMING FACILITIES CONVEYANCES.
10 9 The safety board, pursuant to rule, may grant exceptions 10 10 and variances from the requirements of rules adopted for any
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      facility conveyance. Exceptions or variations shall be
      reasonably related to the age of the facility conveyance, and
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10 13 may be conditioned upon a repair or modification of the
10 14 facility conveyance deemed necessary by the safety board to
10 15 assure reasonable safety. However, an exception or variance
10 16 shall not be granted except to prevent undue hardship.
10 17 facilities conveyances shall be subject to orders issued
10 18 pursuant to section 89A.10.
10 19 Sec. 11. Section 89A.12
                     Section 89A.12, Code 2007, is amended to read as
10 20 follows:
10 21
          89A.12 ACCESS TO FACILITIES CONVEYANCES
          Every owner of a facility conveyance subject to regulation
10 22
10 23 by this chapter shall grant access to that facility conveyance
10 24 to the commissioner and personnel of the division.
10 25 Inspections shall be permitted at reasonable times, with or
10 26 without prior notice.
          Sec. 12. Section 89A.13, subsections 1 and 7, Code 2007,
10 27
10 28 are amended to read as follows:
10 29
          1. An elevator safety board is created within the division
10 30 of labor services in the department of workforce development
10 31 to formulate definitions and rules for the safe and proper
10 32 installation, repair, maintenance, alteration, use, and 10 33 operation of facilities conveyances in this state.
10 34
          7. Not later than July 1, 2005, and every three years
10 35 thereafter, the safety board shall conduct a comprehensive
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      review of existing elevator and facility conveyance rules,
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      regulations, and standards.
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          Sec. 13.
                     Section 89A.14, Code 2007, is amended to read as
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    4
      follows:
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          89A.14 CONTINUING DUTY OF OWNER.
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          Every facility conveyance shall be maintained by the owner
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       in a safe operating condition and in conformity with the rules
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      adopted by the safety board.
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                    Section 89A.15, Code 2007, is amended to read as
          Sec. 14.
11 10 follows:
11 11
          89A.15 INSPECTIONS BY LOCAL AUTHORITIES.
11 12
          A city or other governmental subdivision shall not make or
11 13 maintain any ordinance, bylaw, or resolution providing for the
      licensing of special inspectors. An ordinance or resolution
11 15 relating to the inspection, construction, installation,
11 16 alteration, maintenance, or operation of facilities
11 17
      conveyances within the limits of the city or governmental
11 18 subdivision which conflicts with this chapter or with rules
11 19 adopted pursuant to this chapter is void. The commissioner,
11 20 in the commissioner's discretion, may accept inspections by
11
       local authorities in lieu of inspections required by section
   21
11 22 89A.6, but only upon a showing by the local authority that
11 23 applicable laws and rules will be consistently and literally
11 24 enforced and that inspections will be performed by special 11 25 inspectors.
11 26
                     Section 89A.18, Code 2007, is amended to read as
          Sec. 15.
11 27 follows:
11 28 89A.1
          89A.18
                  CIVIL PENALTY.
11 29
          If upon notice and hearing the commissioner determines that
11 30 an owner has operated a facility conveyance after an order of
11 31 the commissioner that suspends, revokes, or refuses to issue 11 32 an operating permit for the <u>facility conveyance</u> has become
11 33 final under section 89A.10, subsection 2, the commissioner may 11 34 assess a civil penalty against the owner in an amount not
   35 exceeding five hundred dollars, as determined by the
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12
    1 commissioner. An order assessing a civil penalty is subject
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    2 to appeal under section 89A.10, subsection 2, in the same
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    3 manner and to the same extent as decisions referred to in that
    4 subsection. The commissioner may commence an action in the
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    5 district court to enforce payment of the civil penalty.
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    6 record of assessment against or payment of a civil penalty by 7 any person for a violation of this section shall <u>not</u> be
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    8 admissible as evidence in any court in any civil action.
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    9 Revenue from the penalty provided in this section shall be
12 10 remitted to the treasurer of state for deposit in the state
12 11 general fund.
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Sec. 16. Section 331.304, subsection 4, Code 2007, is

		amended to read as follows:	
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			nveyances except as provided in
		section 89A.15.	
12	- '		
12			
	19	-	
	20		PATRICK J. MURPHY
12		:	Speaker of the House
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	23 24		
	25	-	TOHN P. KIBBIE
	26		President of the Senate
	27	•	President of the Senate
	28	I hereby certify that this h	oill originated in the House and
		is known as House File 369, Eig	
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12	33	Ī	MARK BRANDSGARD
12	34	(Chief Clerk of the House
12	35	Approved, 2007	
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13		CHESTER J. CULVER	
13	5	Governor	